

Introduction to Generalised Hydrodynamics in integrable field theories

Disordered Systems Advanced Lectures Series
3rd lecture

Thibault Bonnemain, 29th January 2024

Recap of the previous lectures

- KdV: integrable, nonlinear, dispersive PDE

$$\partial_t u + 6u\partial_x u + \partial_x^3 u = 0 .$$

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- KdV is an Hamiltonian system

$$\partial_t u = \{\mathcal{H}; u\} = \partial_x \frac{\delta \mathcal{H}}{\delta u(x)} ,$$

$$\text{with } \{\mathcal{F}; \mathcal{G}\} = \int_{\mathbb{R}} dx \frac{\delta \mathcal{F}}{\delta u(x)} \partial_x \frac{\delta \mathcal{G}}{\delta u(x)} , \quad \text{and} \quad \mathcal{H} = \int_{\mathbb{R}} dx \frac{u_x^2}{2} - u^3 .$$

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- Infinite set of conservation laws

Time
conserved
“charges”

$$Q_n = \int dx q_n(x, t) , \quad \text{and} \quad J_n = \int dt j_n(x, t) ,$$

Space
conserved
“currents”

$$\partial_t q_n + \partial_x j_n = 0 .$$

Recap of the previous lectures

- Solvable via IST: KdV is the compatibility condition for a linear problem

$$\mathcal{L}\phi = \lambda\phi, \quad \phi_t = \mathcal{M}\phi,$$

$$\underline{\mathcal{L}} = -\partial_{xx} - u(x, t), \quad \underline{\mathcal{M}} = u_x + [4\lambda - 2u(x, t)]\partial_x, \quad \underline{\dot{\mathcal{L}}} = [\mathcal{M}; \mathcal{L}].$$

Lax pair

Lax equation \Leftrightarrow KdV

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- Finite gap theory: associates (multi-)periodic solution of KdV with a particular band spectrum of \mathcal{L}

$$\lambda \in [\lambda_1, \lambda_2] \cup \cdots \cup [\lambda_{2N+1}, +\infty[.$$

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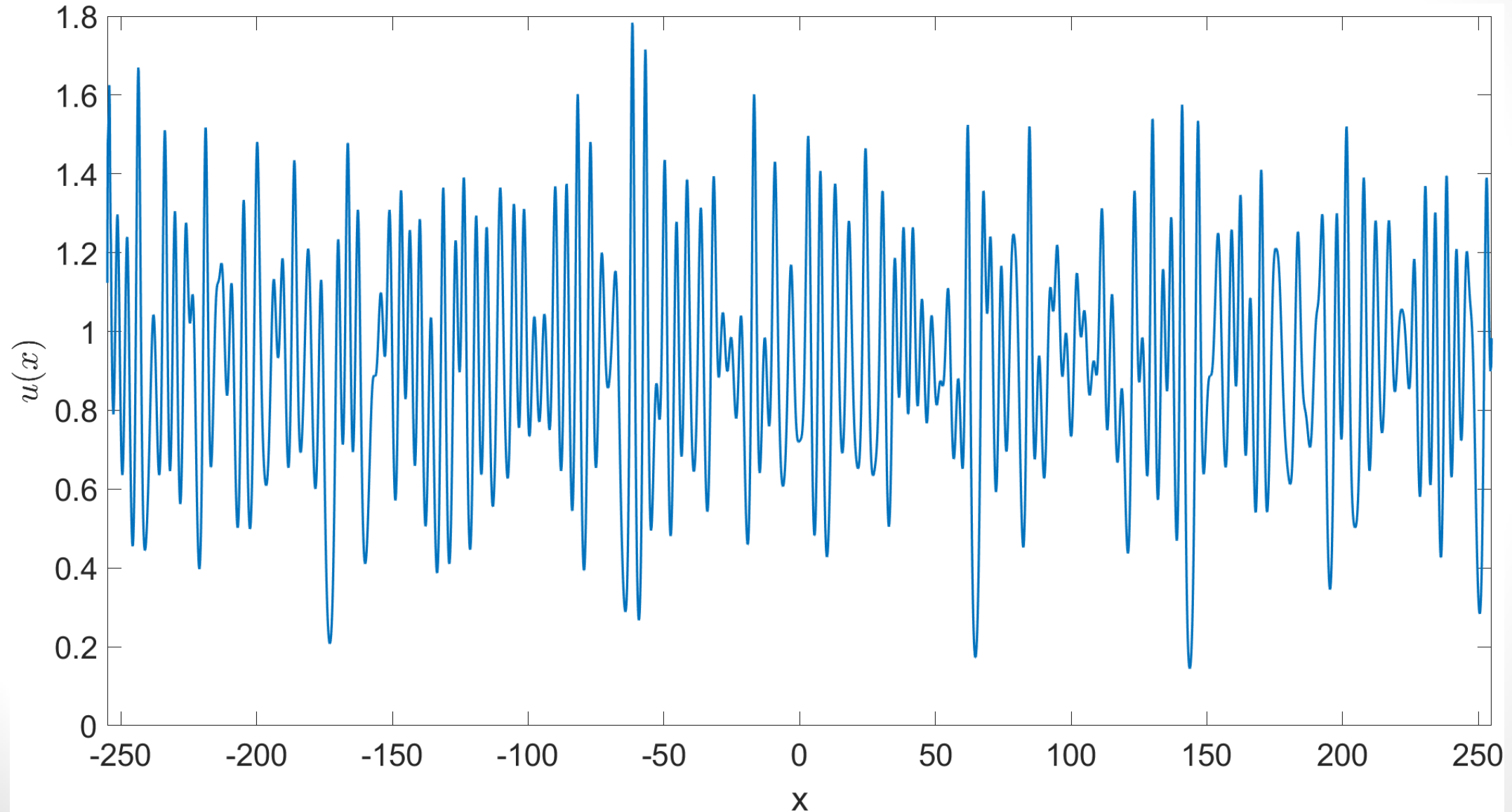
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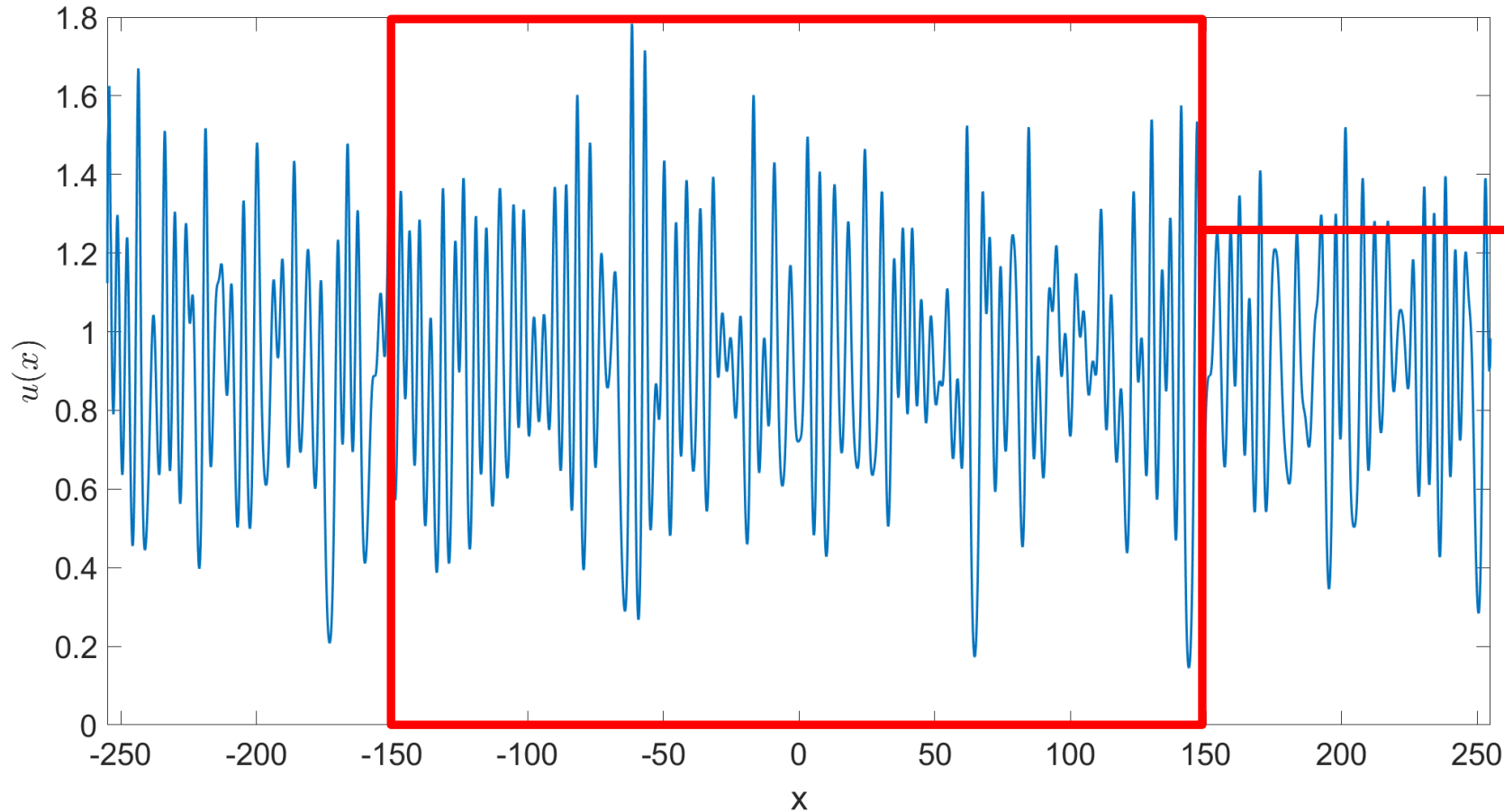
- N -soliton solutions: all N band shrink to points

$$u_N(x, t) \approx \sum_{i=1}^N 2\eta_i^2 \operatorname{sech}^2 [\eta_i (x - 4\eta_i^2 t - x_i^\pm)] \quad \text{as } t \rightarrow \pm\infty.$$

Soliton gas: basic idea and motivations



Soliton gas: basic idea and motivations



Fluid cell of size L
containing N solitons

$$u_L(x, 0) = \begin{cases} u(x), & |x| < \frac{L}{2} \\ 0, & \text{otherwise} \end{cases}$$

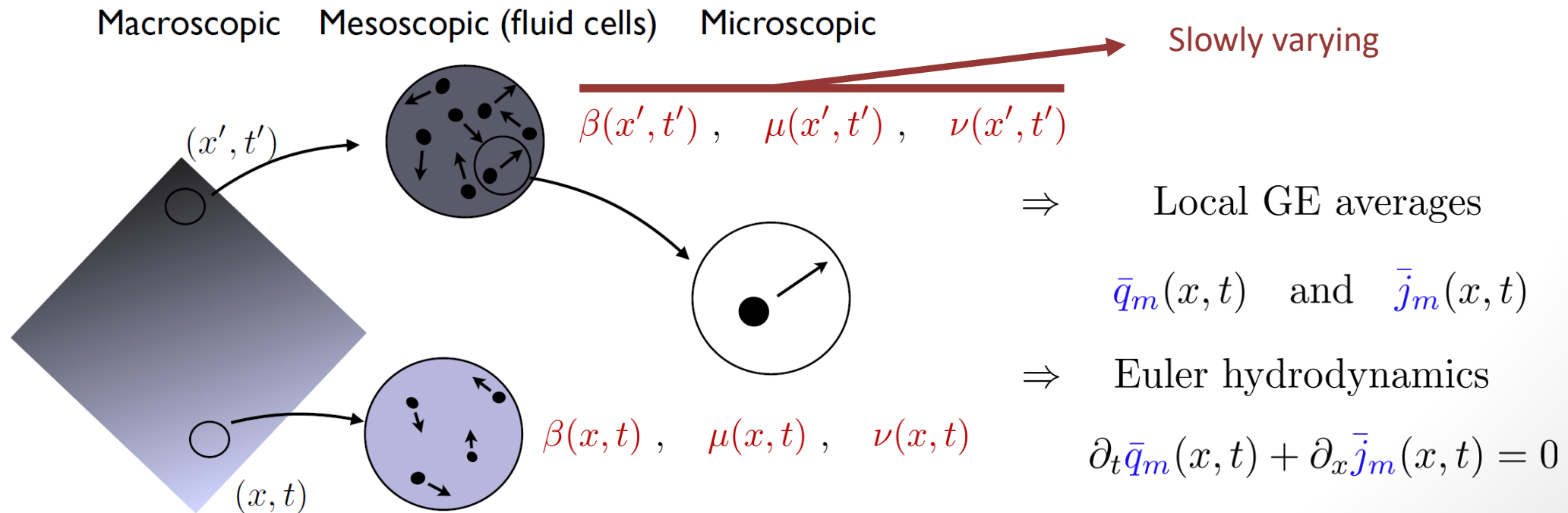
$$\text{Asymptotically: } u_L(x, t) \approx \sum_{i=1}^N 2\eta_i^2 \operatorname{sech}^2 [\eta_i (x - 4\eta_i^2 t - x_i^\pm)] \quad \text{as } t \rightarrow \pm\infty.$$

Large scale dynamics of inhomogeneous soliton gas?

- Non-integrable systems thermalise to Gibbs ensembles (GE)

$$\mu_{\text{GE}} = \frac{1}{Z_{\text{GE}}} \sum_{N=0}^{\infty} \exp[-\beta(E - \mu N - \nu P)] \frac{1}{N!} d^N \mathbf{x} d^N \mathbf{p} .$$

- Hydrodynamic principle: separation of scales and propagation of local GE



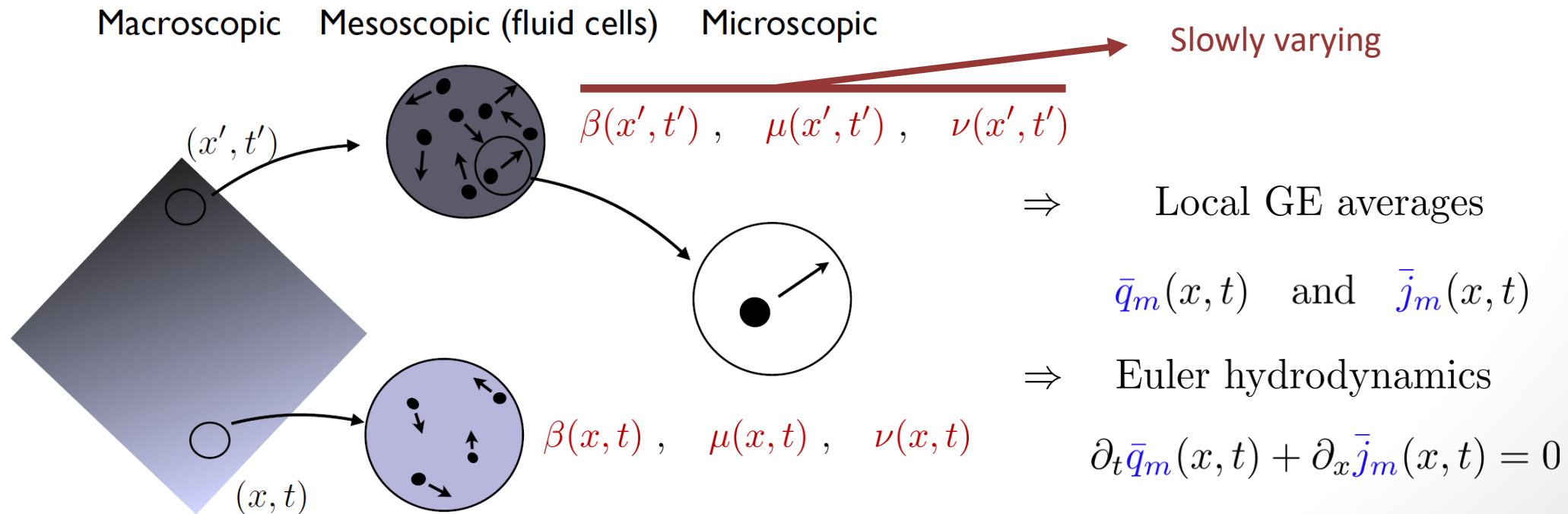
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*Lesson from EPUT:
Integrability prevents thermalisation!*

- Hydrodynamic principle: separation of scales and propagation of local GE



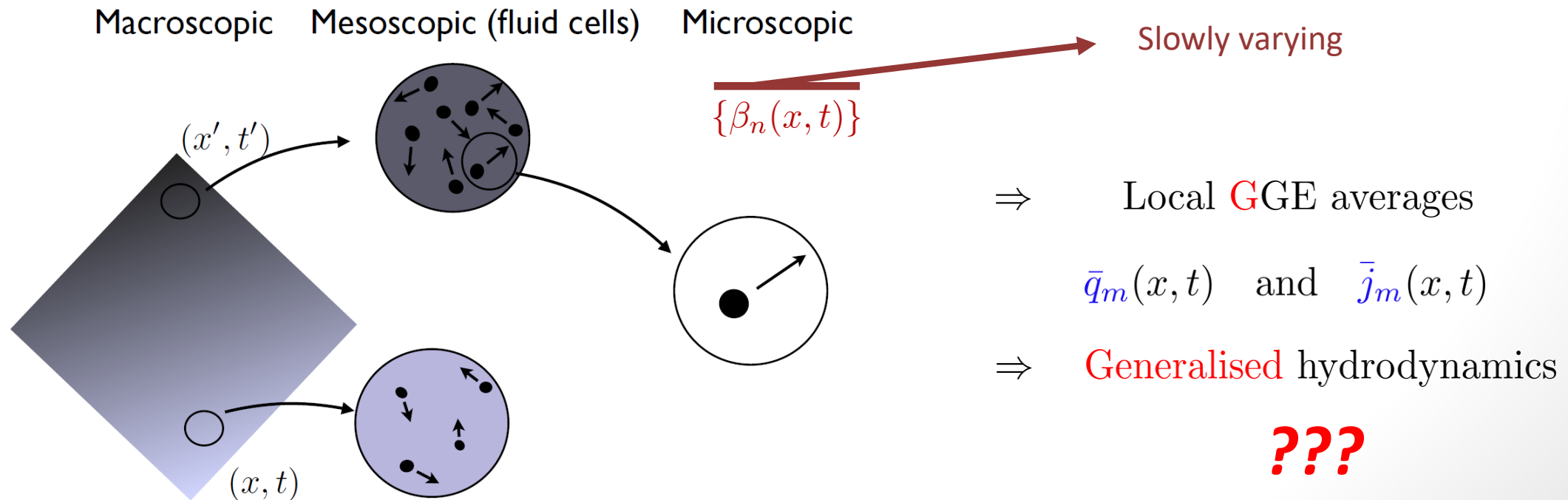
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- Non-integrable systems thermalise to **Generalised** Gibbs ensembles (**GGE**)

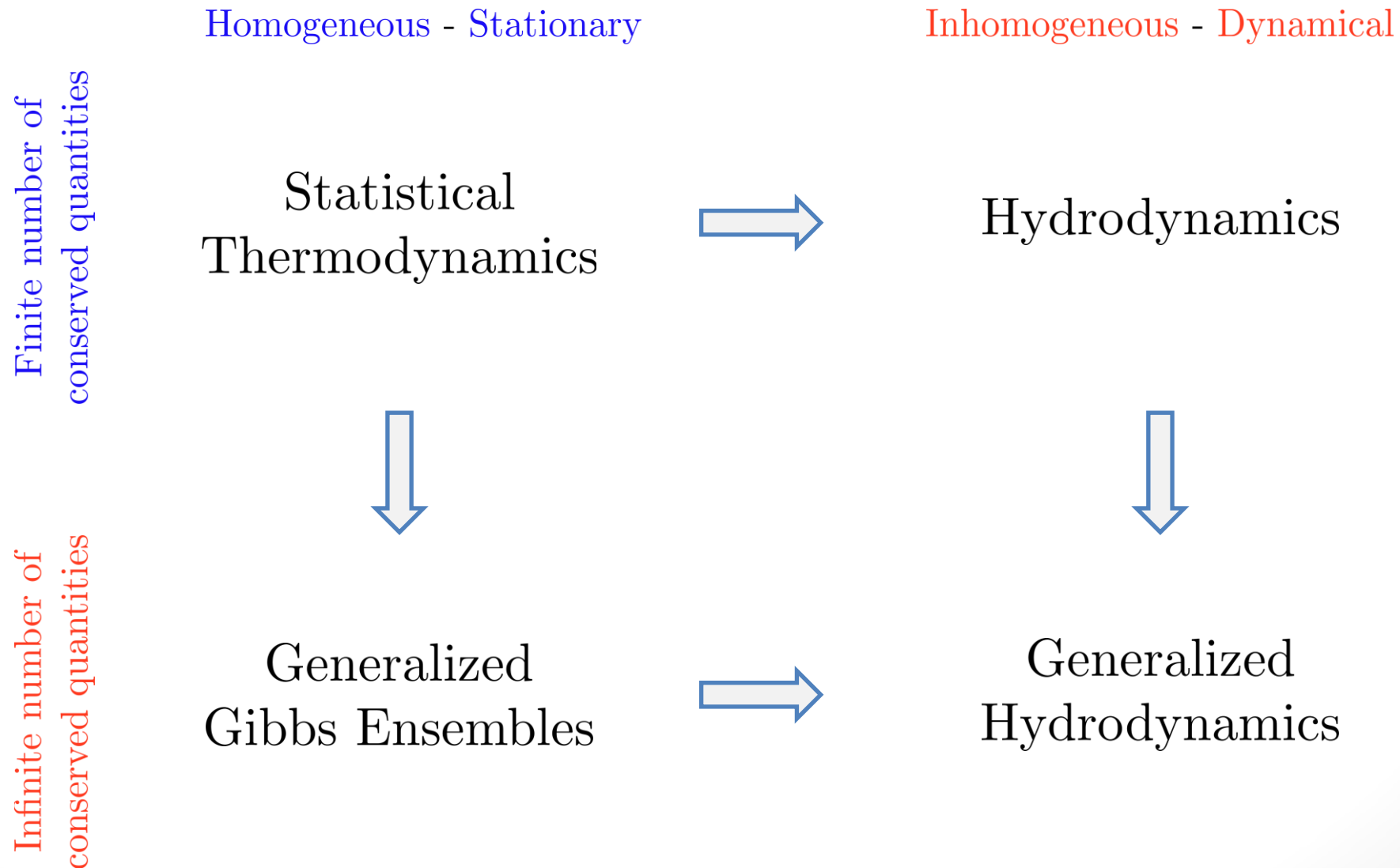
[Rigol et al. (2007)]

$$\mu_{\text{GGE}} = \frac{1}{Z_{\text{GGE}}} \sum_{N=0}^{\infty} \exp \left[- \sum_{k=0}^{\infty} \beta_k Q_k \right] \frac{1}{N!} d^N \mathbf{x} d^N \mathbf{p} .$$

- Hydrodynamic principle: separation of scales and propagation of local **GGE**



GHD in a nutshell



Outline of the lectures

I. Elements of Hydrodynamics

II. Integrable field theories

III. Soliton gas and Generalised Hydrodynamics

- 1) Mise en bouche: Zakharov's rarefied gas (1971).
- 2) Thermodynamics of the KdV gas: quasi-particle (heuristic) approach.
- 3) Soliton gas from finite gap theory: non-linear dispersion relations (sketch of derivation).
- 4) (Generalised) Hydrodynamics of the KdV gas.

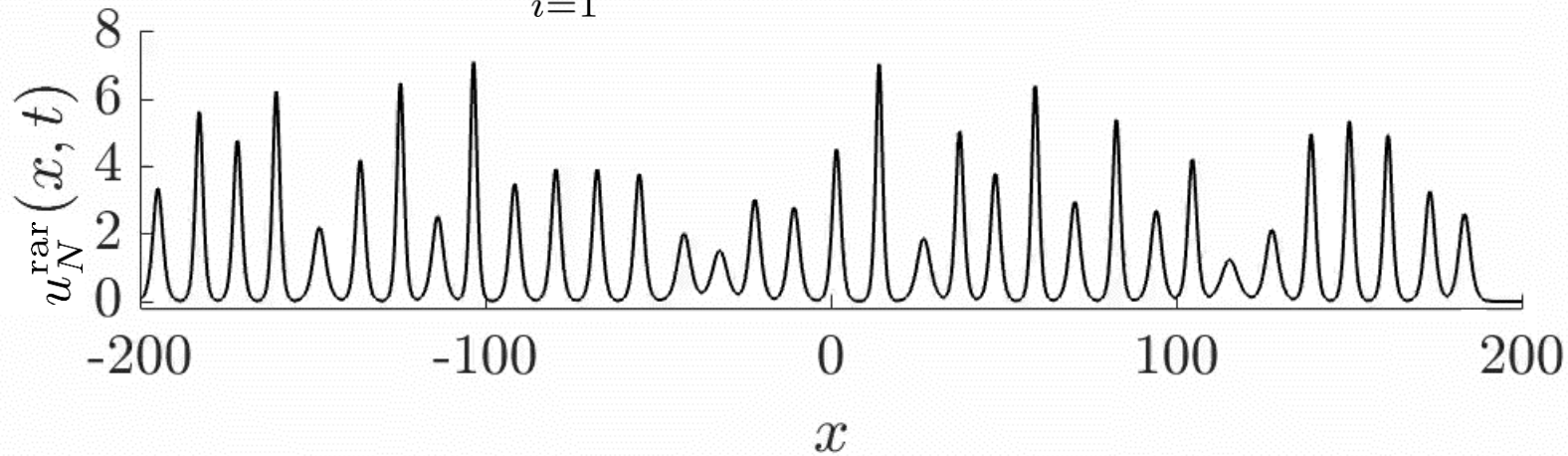
IV. Specific examples and potential extensions

Zakharov's rarefied gas (1971)

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- Random solution that almost everywhere in time can be approximated by

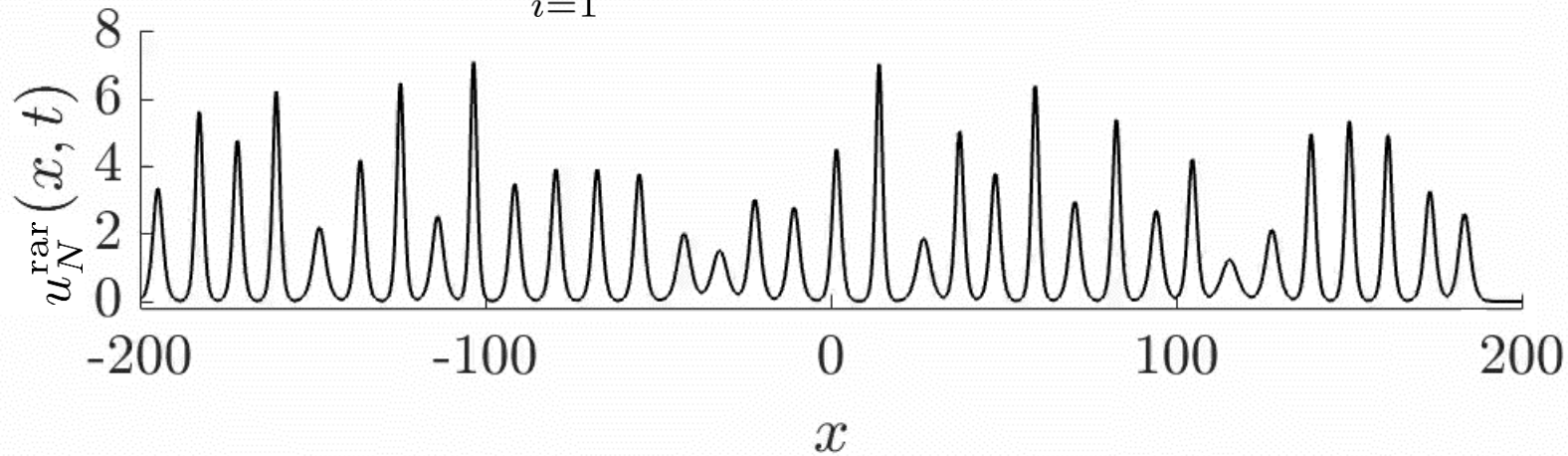
$$u_N^{\text{rar}}(x, t) \approx \sum_{i=1}^N 2\eta_i^2 \operatorname{sech}^2 [\eta_i (x - 4\eta_i^2 t - x_i)] .$$



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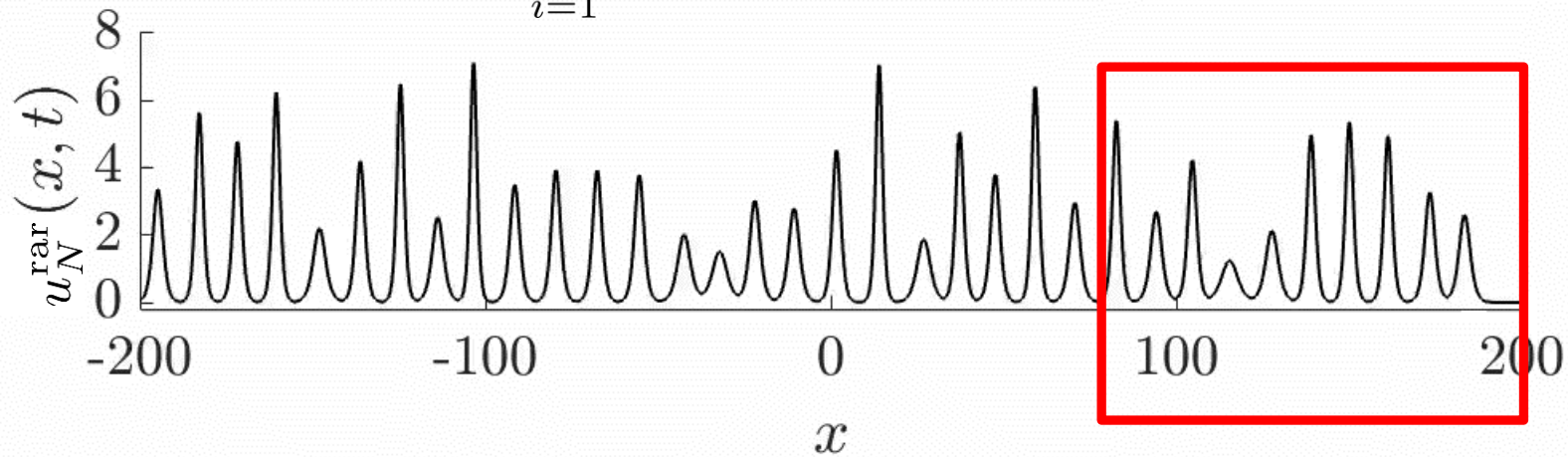


- Spectral support: $\Gamma = \bigcup_{i=0}^N [\gamma_{2i}, \gamma_{2i+1}] \subset \mathbb{R}^+$.

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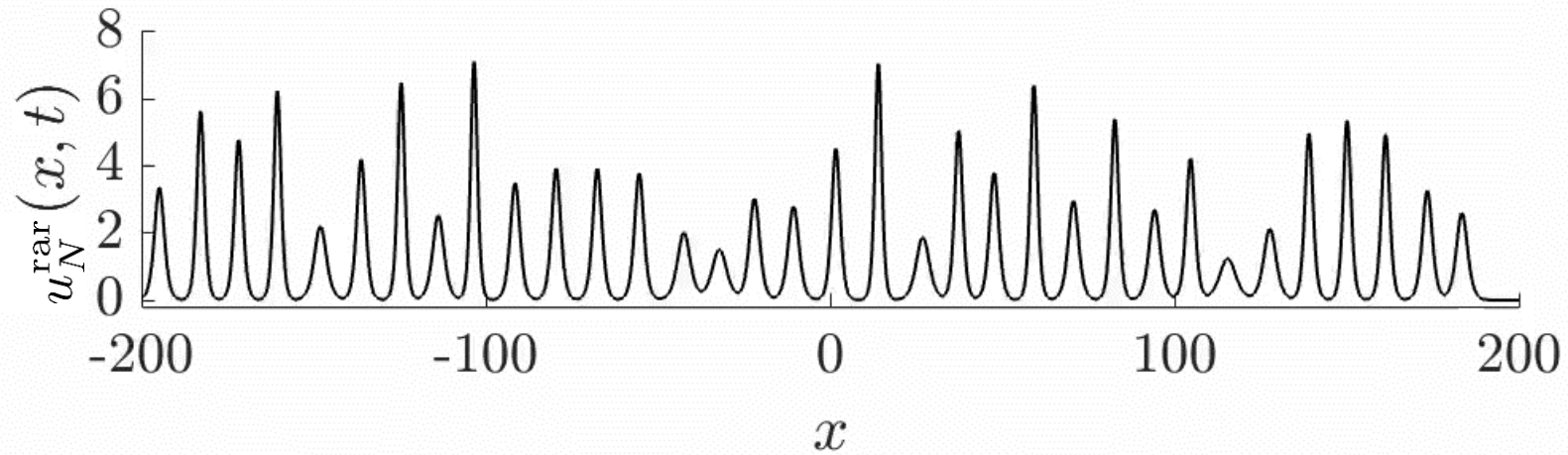


- Spectral support: $\Gamma = \bigcup_{i=0}^N [\gamma_{2i}, \gamma_{2i+1}] \subset \mathbb{R}^+$.

- Spectral density of states (DOS): $\rho^{\text{rar}} : \Gamma \times \mathbb{R}^2 \rightarrow \mathbb{R}^+$

$$\rho^{\text{rar}}(\eta; x, t) d\eta dx = \# \text{ of solitons at } t \text{ in } [\eta, \eta + d\eta] \times [x, x + dx]$$

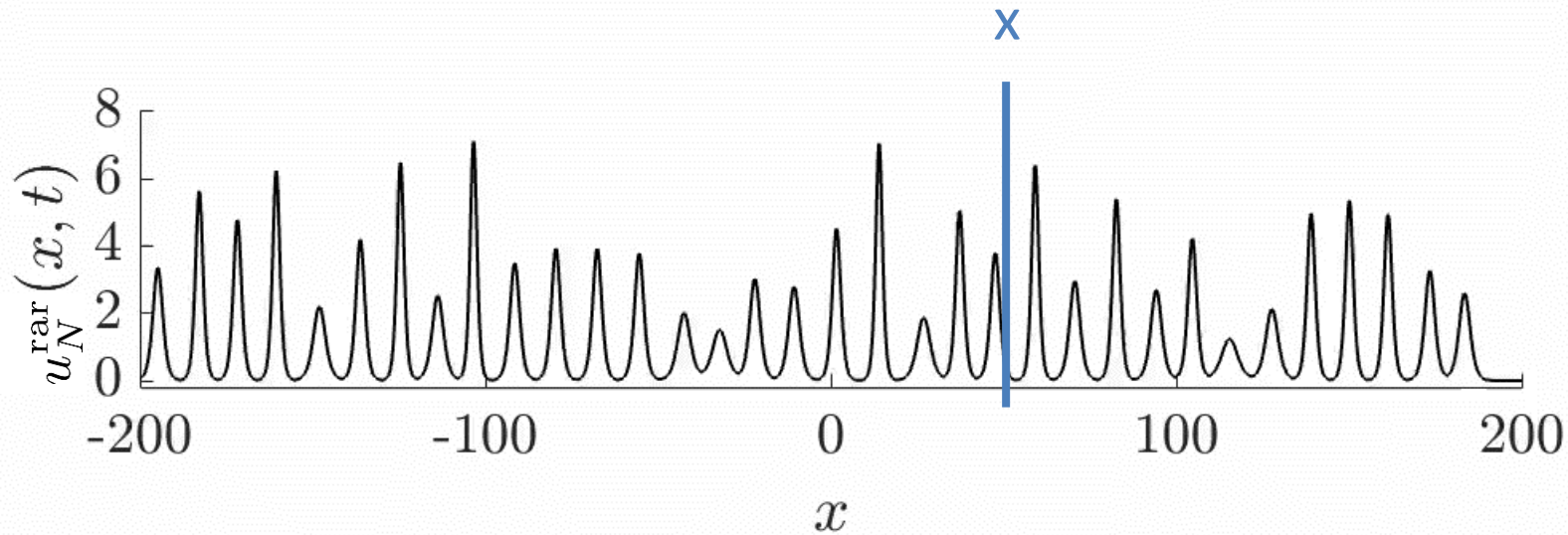
Zakharov's rarefied gas (1971)



- Condition for the gas to be rarefied

$$\alpha(x, t) = \int_{\Gamma} d\eta \rho^{\text{rar}}(\eta; x, t) \ll \gamma_0 .$$

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$$\alpha(x, t) = \int_{\Gamma} d\eta \rho^{\text{rar}}(\eta; x, t) \ll \gamma_0 .$$

- Spectral flux density: $f^{\text{rar}} : \Gamma \times \mathbb{R}^2 \rightarrow \mathbb{R}$

$$f^{\text{rar}}(\eta; x, t) d\eta dt = \# \text{ of solitons crossing } x \text{ in } [\eta, \eta + d\eta] \times [t, t + dt] .$$

Zakharov's kinetic equation for solitons

- Isospectrality imposes DOS is only transported over large scales

$$\partial_t \rho^{\text{rar}}(\eta; x, t) + \partial_x f^{\text{rar}}(\eta; x, t) = 0 .$$

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$$4\eta^2 \rho^{\text{rar}}(\eta; x, t) ?$$

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$$\partial_t \rho^{\text{rar}}(\eta; x, t) + \partial_x \underline{f^{\text{rar}}}(\eta; x, t) = 0 .$$
$$v^{\text{rar}}(\eta; x, t) \rho^{\text{rar}}(\eta; x, t)$$

- Solitons move with effective velocity

$$v^{\text{rar}}(\eta; x, t) \approx 4\eta^2 + \frac{1}{\eta} \int_{\Gamma} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| \rho^{\text{rar}}(\eta; x, t) [4\eta^2 - 4\mu^2] d\mu .$$

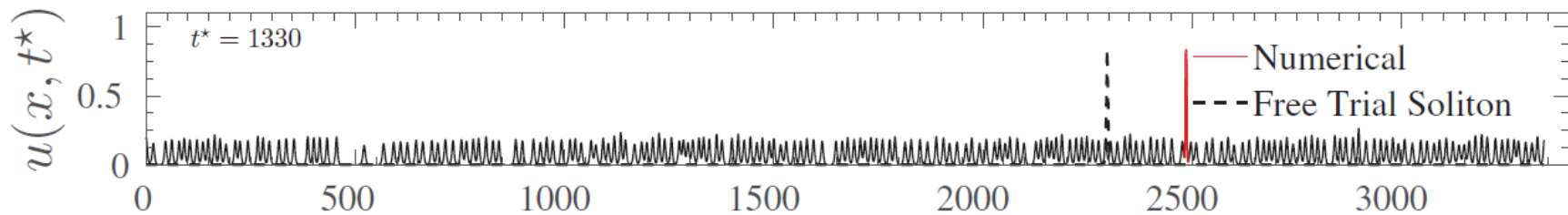
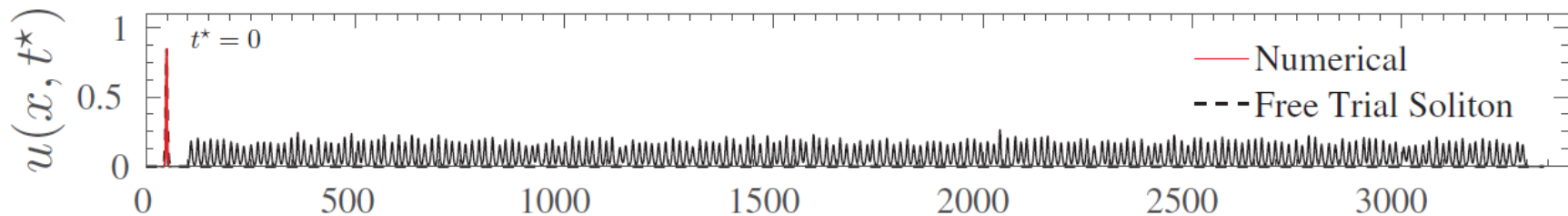
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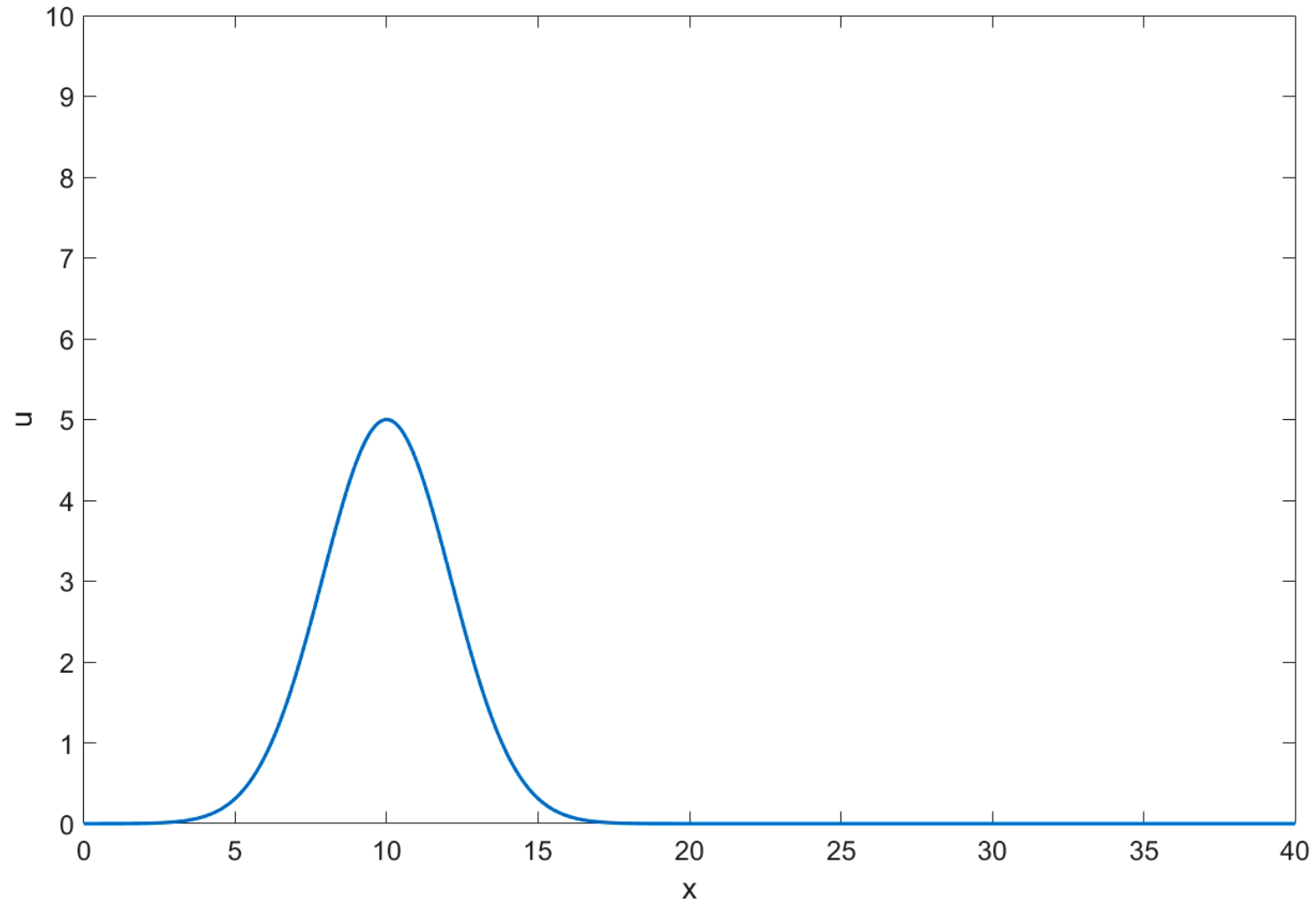


x

[Carbone, Dutykh, El (2016)]

DOS in dense soliton gases

- Meaning of DOS not as clear in a dense gas.



DOS in dense soliton gases

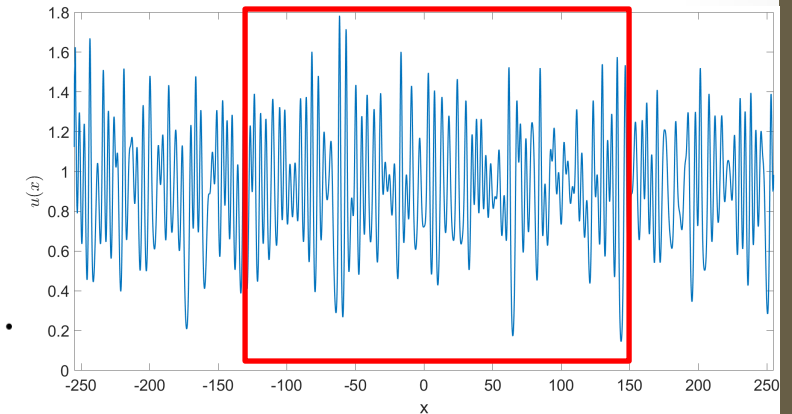
- Meaning of DOS not as clear in a dense gas.
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- Fluid cell isolated from the gas, asymptotically

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Action coordinate Angle coordinate



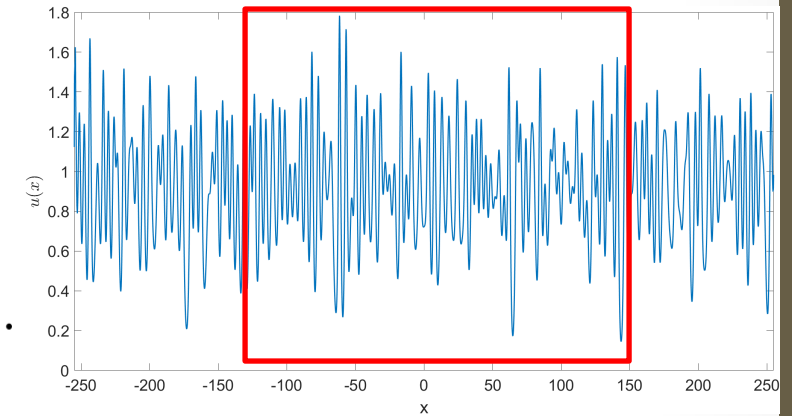
N solitons in L

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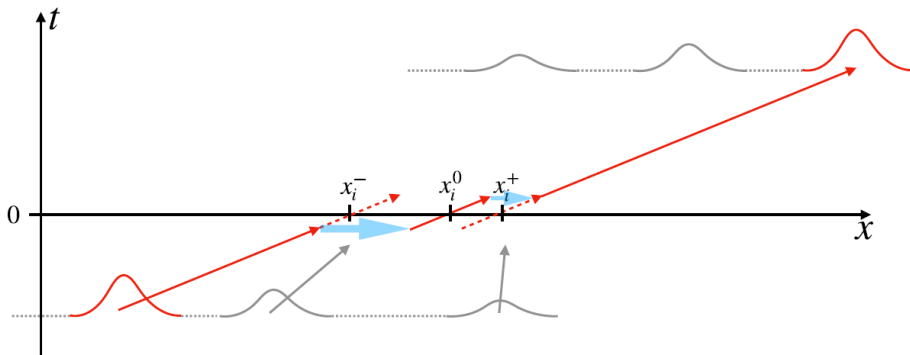
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N solitons in L

- Relation between asymptotic states given by scattering shift



$$x_i^+ - x_i^- = \sum_j \frac{\operatorname{sgn}(\eta_i - \eta_j)}{\eta_i} \ln \left| \frac{\eta_i + \eta_j}{\eta_i - \eta_j} \right| .$$

Thermodynamics

- N -soliton partition function can be formally written as

$$\mathcal{Z}_L = \int \mathcal{D}[u_N] \exp \left(\underbrace{S[u_N]}_{\text{Entropy}} - \underbrace{W[u_N]}_{\text{Generalised Gibbs weight}} \right) .$$

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$$\mathcal{Z}_L = \sum_{N=0}^{\infty} \frac{1}{N!} \int_{\Gamma^N \times \mathbb{R}^N} \prod_{i=1}^N \frac{dp(\eta_i)}{2\pi} dx_i^- \exp \left[- \sum_{i=1}^N w(\eta_i) \right] \chi (u_N(x, t = 0) < \epsilon_x, x \notin [0, L]) .$$

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$$p(\eta) = 4\eta^2$$

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$$\text{e.g. } w(\eta) = \sum_k \beta_k h_k(\eta)$$

$$h_n(\eta) = Q_n \text{ for a single soliton } \eta$$

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Soliton bare velocity Generalised Gibbs weights Constraint / Entropy

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Asymptotic space

- Assume solitons are point particles of velocity $p(\eta_i)$ and position $X_i^t = x_i^t + p(\eta_i)t$.

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- Let i be the leftmost soliton ($x_i^0 = 0$)

$$0 = x_i^{\text{left}} - \frac{1}{\eta_i} \sum_{\eta_j > \eta_i} \log \left| \frac{\eta_i + \eta_j}{\eta_i - \eta_j} \right| .$$

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$$\underbrace{0}_{\text{Position at } t=0} = \overbrace{x_i^{\text{left}}}^{\text{Asymptotic position } x_i^-} - \underbrace{\frac{1}{\eta_i} \sum_{\eta_j > \eta_i} \log \left| \frac{\eta_i + \eta_j}{\eta_i - \eta_j} \right|}_{\text{Shifts from faster solitons}}.$$

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$$L = x_i^{\text{right}} + \frac{1}{\eta_i} \sum_{\eta_j < \eta_i} \log \left| \frac{\eta_i + \eta_j}{\eta_i - \eta_j} \right| .$$

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Asymptotic space is shorter than real space

$$\begin{aligned} L_i &\equiv x_i^{\text{right}} - x_i^{\text{left}} \\ &= L - \frac{1}{\eta_i} \sum_{j \neq i} \log \left| \frac{\eta_i + \eta_j}{\eta_i - \eta_j} \right|. \end{aligned}$$

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Asymptotic space density

- Let $L_N(\eta)$ interpolate L_i

$$\mathcal{K}_N(\eta) \equiv \frac{L_N(\eta)}{L} = 1 - \frac{1}{L\eta} \sum_{j=1}^N \log \left| \frac{\eta + \eta_j}{\eta - \eta_j} \right| .$$

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- Limit $N \rightarrow \infty, L \rightarrow \infty, N/L = \varkappa$

$$\mathcal{K}(\eta) = 1 - \frac{1}{\eta} \int_{\Gamma} d\mu \rho(\mu) \log \left| \frac{\eta + \mu}{\eta - \mu} \right| .$$

Asymptotic space density

DOS

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Asymptotic space density

DOS

$$\frac{\varkappa}{N} \sum_{i=1}^N \delta(\eta - \eta_i)$$

$$\langle q_n \rangle = \int_{\Gamma} d\eta \rho(\eta) h_n(\eta)$$

$$h_n(\eta) = Q_n \text{ for a single soliton } \eta$$

Asymptotic space density

- Let $L_N(\eta)$ interpolate L_i

$$\mathcal{K}_N(\eta) \equiv \frac{L_N(\eta)}{L} = 1 - \frac{1}{L\eta} \sum_{j=1}^N \log \left| \frac{\eta + \eta_j}{\eta - \eta_j} \right| .$$

- Limit $N \rightarrow \infty, L \rightarrow \infty, N/L = \varkappa$

$$\mathcal{K}(\eta) = 1 - \frac{1}{\eta} \int_{\Gamma} d\mu \rho(\mu) \log \left| \frac{\eta + \mu}{\eta - \mu} \right| .$$

Asymptotic space density

DOS

$$dx^-(\eta) = \mathcal{K}(\eta) dx$$

change of metric due to interactions

$$\frac{\varkappa}{N} \sum_{i=1}^N \delta(\eta - \eta_i)$$

$$\langle q_n \rangle = \int_{\Gamma} d\eta \rho(\eta) h_n(\eta)$$

$$h_n(\eta) = Q_n \text{ for a single soliton } \eta$$

Asymptotic constraint

- N -soliton partition function in asymptotic coordinates

$$\mathcal{Z}_L = \sum_{N=0}^{\infty} \frac{1}{N!} \int_{\Gamma^N \times \mathbb{R}^N} \prod_{i=1}^N \frac{dp(\eta_i)}{2\pi} dx_i^- \exp \left[- \sum_{i=1}^N w(\eta_i) \right] \chi (u_N(x, t = 0) < \epsilon_x, x \notin [0, L]) .$$

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- Asymptotic constraint

$$\int_{\mathbb{R}^N} \prod_{i=1}^N dx_i^- \chi(u_N(x, t=0), x \notin [0, L]) \approx \prod_{i=1}^N \left(\int_{x_i^{\text{left}}(\eta_i)}^{x_i^{\text{right}}(\eta_i)} dx^- \right) = L^N \prod_{i=1}^N \mathcal{K}_N(\eta_i) .$$

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- Putting everything in the exponential

$$\mathcal{Z}_L = \sum_{N=0}^{\infty} \int_{\Gamma^N} \prod_{i=1}^N d\eta_i \exp \left\{ - \sum_{i=0}^N \left[w(\eta_i) - \log \left(\frac{4\eta_i}{\pi} \right) - \log [\mathcal{K}_N(\eta_i)] - 1 + \log \varkappa \right] \right\} .$$

Asymptotic constraint

- N -soliton partition function in asymptotic coordinates

$$\mathcal{Z}_L = \sum_{N=0}^{\infty} \frac{1}{N!} \int_{\Gamma^N \times \mathbb{R}^N} \prod_{i=1}^N \frac{dp(\eta_i)}{2\pi} dx_i^- \exp \left[- \sum_{i=1}^N w(\eta_i) \right] \chi(u_N(x, t=0) < \epsilon_x, x \notin [0, L]) .$$

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Jacobian
Prefactor

Constraint

Asymptotic constraint

- N -soliton partition function in asymptotic coordinates

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- Putting everything in the exponential

$$\mathcal{Z}_L = \sum_{N=0}^{\infty} \int_{\Gamma^N} \prod_{i=1}^N d\eta_i \exp \left\{ -L \int_{\Gamma} \underline{\tilde{\rho}(\eta)} \left[w(\eta) - \log \left[\frac{4\eta \mathcal{K}_N(\eta)}{\pi} \right] - 1 + \log \varkappa \right] \right\} .$$

Empirical DOS

Thermodynamic equilibrium

- Thermodynamic limit: large deviations theory

[Varadhan (1966), Touchette (2009)]

$$\mathcal{Z}_L \asymp \exp \left(-L \mathcal{F}^{\text{MF}}[\rho^*(\eta)] \right) ,$$

with

$$\mathcal{F}^{\text{MF}}[\rho(\eta)] = \int_{\Gamma} d\eta \rho(\eta) \left[w(\eta) - \log \left[\frac{4\eta \mathcal{K}(\eta)}{\pi} \right] - 1 + \log \rho(\eta) \right] .$$

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Configuration
entropy

[Sanov (1961)]

Thermodynamic equilibrium

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- Minimisation condition (Yang-Yang equation)

$$0 = \left. \frac{\delta \mathcal{F}^{\text{MF}}[\rho]}{\delta \rho(\eta)} \right|_{\rho=\rho^*} \Rightarrow \log \frac{4\eta \mathcal{K}(\eta)}{\pi \rho(\eta)} = w(\eta) + \int_{\Gamma} d\mu \log \left| \frac{\eta + \mu}{\eta - \mu} \right| \frac{\rho(\mu)}{\mu \mathcal{K}(\mu)} .$$

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- Free energy (spatial) density

$$\mathcal{F} \equiv \mathcal{F}^{\text{MF}}[\rho(\eta)] = - \int_{\Gamma} d\mu \frac{\rho(\mu)}{\mathcal{K}(\mu)} .$$

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Thermodynamic equilibrium (alternative notations)

- Minimisation condition (Yang-Yang equation)

$$\underline{\epsilon(\eta)} = w(\eta) - \int_{\Gamma} \frac{dp(\mu)}{2\pi\mu} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| \underline{F(\mu)} .$$

“pseudo-energy”

$$\epsilon = \log \frac{4\eta\mathcal{K}(\eta)}{\pi\rho(\eta)}$$

free energy density

$$F = -e^{-\epsilon(\eta)}$$

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$$n(\eta) = \left. \frac{dF}{d\epsilon} \right|_{\epsilon=\epsilon(\eta)} = \underline{e^{-\epsilon(\eta)}} .$$

Maxwell-Boltzmann

Thermodynamic equilibrium (alternative notations)

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Density of solitons in
the asymptotic space

Maxwell-Boltzmann

Thermodynamic quantities

- Entropy density of the soliton gas

$$\mathcal{S} = \mathcal{W} - \mathcal{F}$$
$$\int_{\Gamma} d\eta \rho(\eta) w(\eta)$$

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- Entropy density of the soliton gas

$$\mathcal{S} = \mathcal{W} - \mathcal{F} = \int_{\Gamma} d\eta \rho(\eta) [1 - \log n(\eta)] .$$

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$$\langle q_n \rangle = \frac{\partial \mathcal{F}}{\partial \beta_n} .$$

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$$\langle q_n \rangle = \frac{\partial \mathcal{F}}{\partial \beta_n} = \int_{\Gamma} \frac{dp(\eta)}{2\pi} n(\eta) \partial_{\beta_n} \epsilon(\eta) ,$$

$$\mathcal{F} = - \int_{\Gamma} \frac{dp(\eta)}{2\pi} F(\eta) , \quad F = -e^{-\epsilon(\eta)} , \quad n(\eta) = \left. \frac{dF}{d\epsilon} \right|_{\epsilon=\epsilon(\eta)} .$$

Aside: dressing operation

- Differentiating the Yang-Yang equation

$$\epsilon(\eta) = w(\eta) - \int_{\Gamma} \frac{dp(\mu)}{2\pi\mu} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| F(\mu) ,$$

$$\partial_{\beta_n} \epsilon(\eta) = h_n(\eta) - \int_{\Gamma} \frac{dp(\mu)}{2\pi\mu} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| n(\mu) \partial_{\beta_n} \epsilon(\mu) .$$



$$w(\eta) = \sum_n \beta_n h_n(\eta)$$

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$$w(\eta) = \sum_n \beta_n h_n(\eta)$$

- Let $f : \Gamma \rightarrow \mathbb{R}$, we define the dressed function $f^{\text{dr}} : \Gamma \rightarrow \mathbb{R}$ from this Fredholm equation of the 2nd kind

$$f^{\text{dr}}(\eta) = f(\eta) + \int_{\Gamma} \frac{d\mu}{2\pi} \varphi(\eta; \mu) n(\mu) f^{\text{dr}}(\mu) .$$

$$\partial_{\beta_n} \epsilon(\eta) = h_n^{\text{dr}}(\eta)$$

$$\varphi(\eta; \mu) = 8 \log \left| \frac{\eta - \mu}{\eta + \mu} \right|$$

Aside: dressing operation

- Example of dressing from earlier in the lecture

$$\mathcal{K}(\eta) = 1 - \frac{1}{\eta} \int_{\Gamma} d\mu \rho(\mu) \log \left| \frac{\eta + \mu}{\eta - \mu} \right| ,$$

$$\eta \mathcal{K}(\eta) = \eta + \int_{\Gamma} \frac{d\mu}{2\pi} \varphi(\eta; \mu) n(\mu) \mu \mathcal{K}(\mu) .$$

$$\eta \mathcal{K}(\eta) = \eta^{\text{dr}}(\eta) = h_0^{\text{dr}}(\eta) = \partial_{\beta_0} \epsilon(\eta)$$



$$n(\eta) = \frac{\pi \rho(\eta)}{4\eta \mathcal{K}(\eta)}$$

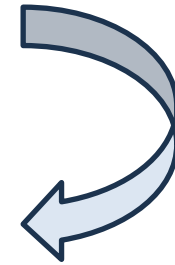
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$$n(\eta) = \frac{\pi \rho(\eta)}{4\eta \mathcal{K}(\eta)}$$

- Useful property of the dressing

$$\int_{\Gamma} d\mu g(\mu) n(\mu) f^{\text{dr}}(\mu) = \int_{\Gamma} d\mu g^{\text{dr}}(\mu) n(\mu) f(\mu) .$$

Thermodynamic quantities

- Thermodynamic averages

$$\langle q_n \rangle = \frac{\partial \mathcal{F}}{\partial \beta_n} = \int_{\Gamma} \frac{dp(\eta)}{2\pi} n(\eta) \partial_{\beta_n} \epsilon(\eta) .$$


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- Thermodynamic averages

$$\eta\mathcal{K}(\eta) = \eta^{\text{dr}}(\eta)$$

and

$$n(\eta) = \frac{\pi\rho(\eta)}{4\eta\mathcal{K}(\eta)}$$


$$\begin{aligned}\langle q_n \rangle &= \frac{\partial \mathcal{F}}{\partial \beta_n} = \int_{\Gamma} \frac{dp(\eta)}{2\pi} n(\eta) h_n^{\text{dr}}(\eta) \\ &= \int_{\Gamma} d\eta \frac{4\eta^{\text{dr}}(\eta)}{\pi} n(\eta) h_n(\eta) \\ &= \int_{\Gamma} d\eta \rho(\eta) h_n(\eta) .\end{aligned}$$


Thermodynamic quantities

- Thermodynamic averages

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$\eta \mathcal{K}(\eta) = \eta^{\text{dr}}(\eta)$
and
 $n(\eta) = \frac{\pi \rho(\eta)}{4\eta \mathcal{K}(\eta)}$



- A few examples:

$$\langle q_0 \rangle = 4 \int_{\Gamma} d\eta \rho(\eta) \eta = \langle u \rangle \qquad \langle q_1 \rangle = \frac{16}{3} \int_{\Gamma} d\eta \rho(\eta) \eta^3 = \langle u^2 \rangle$$

$$\langle q_2 \rangle = \frac{32}{5} \int_{\Gamma} d\eta \rho(\eta) \eta^5 = \left\langle \frac{u_x^2}{2} + u^3 \right\rangle$$


Thermodynamic quantities

- Static covariance matrix

$$C_{ab} \equiv \int_{\mathbb{R}} dx (\langle q_a(x) q_b(0) \rangle - \langle q_a(x) \rangle \langle q_b(0) \rangle) = -\frac{\partial^2 \mathcal{F}}{\partial \beta_a \partial \beta_b} .$$

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$$\partial_\beta \int_{\Gamma} d\mu g(\mu) n(\mu) f^{\text{dr}}(\mu) = \int_{\Gamma} d\mu g^{\text{dr}}(\mu) \partial_\beta n(\mu) f^{\text{dr}}(\mu)$$

$$C_{ab} = \int_{\Gamma} d\eta \rho(\eta) \theta(\eta) h_a^{\text{dr}}(\eta) h_b^{\text{dr}}(\eta) .$$

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Statistical factor

$$\theta(\eta) = -\frac{\partial_\epsilon n(\eta)}{n(\eta)} = 1$$

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Statistical factor

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$$= \int_{\Gamma} d\eta \rho(\eta) \theta(\eta) h_a^{\text{dr}}(\eta) h_b^{\text{dr}}(\eta) .$$

	MB	FD	BE	Simulations
C_{00}^{DC}	0.0235	-2.28	2.32	0.022 ± 0.003
C_{01}^{DC}	0.027	-3.18	3.23	0.024 ± 0.004
C_{11}^{DC}	0.042	-4.48	4.56	0.039 ± 0.005
C_{00}^{U}	0.22	0.028	0.41	0.2 ± 0.03
C_{01}^{U}	0.28	0.072	0.49	0.23 ± 0.04
C_{11}^{U}	0.39	0.12	0.66	0.36 ± 0.05
C_{00}^{L}	0.2	-0.05	0.45	0.2 ± 0.01
C_{01}^{L}	0.25	-0.03	0.54	0.23 ± 0.01
C_{11}^{L}	0.36	-0.03	0.75	0.34 ± 0.02

Multiphase finite gap solutions of KdV

[Flaschka, Forest, McLaughlin (1980)]

- N -phase solutions associated with band spectrum $\lambda \in [\lambda_0, \lambda_1] \cup \dots \cup [\lambda_{2N}, +\infty[$

$$u(x, t) = \Lambda + \Phi - 2 \log [\Theta(\boldsymbol{\theta}(x, t); \mathbf{B})]_{xx} .$$

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Riemann Theta

Riemann period matrix

$$u(x, t) = \Lambda + \Phi - 2 \log [\Theta(\theta(x, t); \mathbf{B})]_{xx} .$$

Constants (depend
on endpoints)

Phase vector

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Riemann Theta Riemann period matrix

- Introduce the two-sheeted hyperelliptic Riemann surface \mathcal{R}

$$\mathcal{R} : R^2(z) = \prod_{j=0}^{2N} (z - \lambda_j) , \quad z \in \mathbb{C} .$$

Multiphase finite gap solutions of KdV

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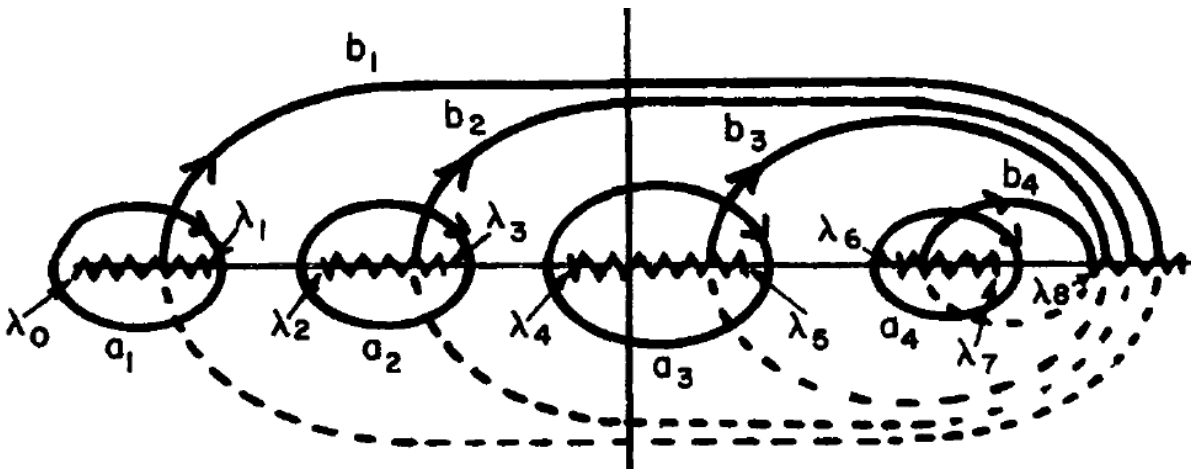
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- Canonical homology basis



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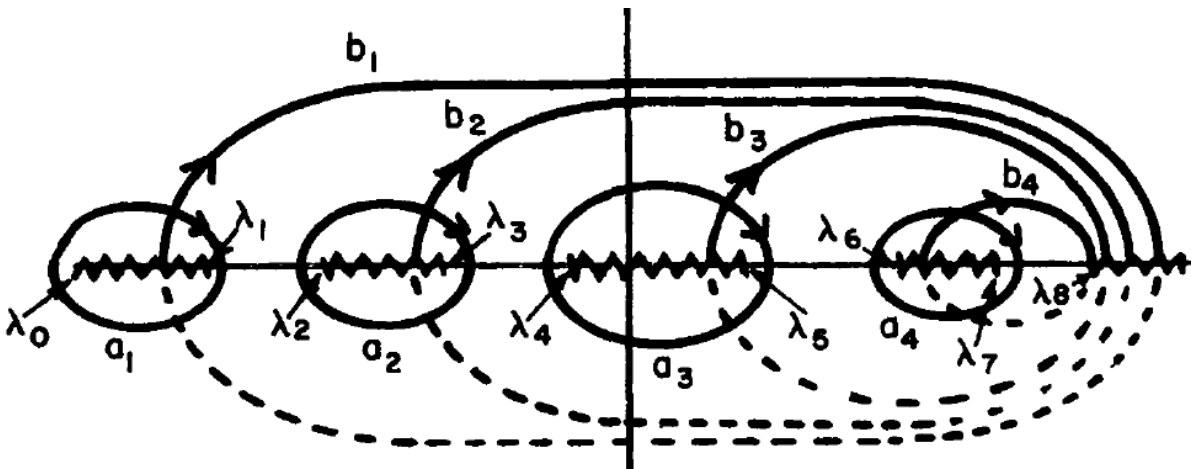
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- Canonical homology basis

- Basis of meromorphic differentials on \mathcal{R}



$$\phi_j = \sum_{k=0}^{N-1} c_{jk} \frac{z^k}{R(z)} dz ,$$

$$\oint_{a_k} \phi_j = \delta_{jk} .$$

Multiphase finite gap solutions of KdV

[Flaschka, Forest, McLaughlin (1980)]

- N -phase solutions associated with band spectrum $\lambda \in [\lambda_0, \lambda_1] \cup \dots \cup [\lambda_{2N}, +\infty[$

$$u(x, t) = \underline{\Lambda} + \Phi - 2 \log [\Theta(\boldsymbol{\theta}(x, t); \mathbf{B})]_{xx} .$$

Constants

$$\Lambda = \sum_{j=0}^{2N} \lambda_j , \quad \Phi = -2 \sum_{j=1}^N \oint_{a_j} \lambda \phi_j ,$$

Multiphase finite gap solutions of KdV

[Flaschka, Forest, McLaughlin (1980)]

- N -phase solutions associated with band spectrum $\lambda \in [\lambda_0, \lambda_1] \cup \dots \cup [\lambda_{2N}, +\infty[$

$$u(x, t) = \underline{\Lambda} + \Phi - 2 \log [\Theta(\underline{\theta}(x, t); \underline{\mathbf{B}})]_{xx} .$$

Constants

$$\Lambda = \sum_{j=0}^{2N} \lambda_j , \quad \Phi = -2 \sum_{j=1}^N \oint_{a_j} \lambda \phi_j ,$$

Riemann period matrix

$$B_{ij} = \oint_{b_j} \phi_i ,$$

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$$\theta_j(x, t) = k_j x + \omega_j t + \theta_j^0 .$$

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- Nonlinear dispersion relations (NDRs)

$$\mathbf{k} = 4\pi i \mathbf{B}^{-1} \mathbf{c}^{(N)} , \quad \text{and} \quad \boldsymbol{\omega} = 8\pi i \mathbf{B}^{-1} \left[\Lambda \mathbf{c}^{(N)} + 2\mathbf{c}^{(N-1)} \right] ,$$

with $[\mathbf{c}^{(M)}]_j = c_{jM}$.

Multiphase solutions in a nutshell

- Multiphase solution of KdV can be formally written as

$$u(x, t) = \underline{F_N}(\theta_1, \dots, \theta_n) \quad , \quad \text{with} \quad \theta_j = \underline{k_j x} + \underline{\omega_j t} + \theta_j^0 .$$

$$F_N(\theta_1, \dots, \theta_j + 2\pi, \dots, \theta_n) = F_N(\theta_1, \dots, \theta_j, \dots, \theta_n) \quad \text{Depend on } \{\lambda_j\}_{j=0}^{2N}$$

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- Nonlinear superposition of waves: analogy with Fourier series

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NDRs

$$u_t + \epsilon u u_x + u_{xxx} = 0$$

$$\epsilon \rightarrow 0$$


$$\sum_{j=1}^N c_j e^{i(k_j x + \omega_j t + \theta_j^0)}$$

$$\omega = k^3$$

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- Main question: how to construct a soliton gas and what are its NDRs?

Thermodynamic limit of finite gap solutions

[EI (2003)]

- Solitonic limit: collapse all bands to points $-\eta_j^2 = \frac{\lambda_{2j} + \lambda_{2j+1}}{2}$

$$\lambda_{2j} \rightarrow -\eta_j^2, \quad \text{and} \quad \lambda_{2j+1} \rightarrow -\eta_j^2, \quad j = 1, 2, \dots, N,$$

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- Thermodynamic spectral limit (band shrink as $N \rightarrow \infty$)

$$k_j \rightarrow 0, \quad \omega_j \rightarrow 0, \quad \text{while} \quad \frac{1}{2\pi} \sum_{j=1}^N k_j = \alpha, \quad \frac{1}{2\pi} \sum_{j=1}^N \omega_j = \beta.$$

Density of waves

Wave flux

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- Spectral scaling

$$k_j \sim \omega_j \sim \frac{1}{N} \quad \Rightarrow \quad |\text{gap}_j| \sim \frac{-1}{\log |\text{band}_j|} \sim \frac{1}{N}.$$

Thermodynamic nonlinear dispersion relations [EI (2003)]

- Recall the NDRs

$$\mathbf{k} = 4\pi i \mathbf{B}^{-1} \mathbf{c}^{(N)}, \quad \text{and} \quad \omega = 8\pi i \mathbf{B}^{-1} \left[\Lambda \mathbf{c}^{(N)} + 2\mathbf{c}^{(N-1)} \right].$$

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Density of bands

Log band width

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Log band width

- Asymptotic behaviour of \mathbf{c} and \mathbf{B}

$$B_{jj} \sim \frac{i}{\pi} N \tau(\eta_j), \quad B_{jk} \sim \frac{i}{\pi} \log \left| \frac{\eta_j + \eta_k}{\eta_j - \eta_k} \right|,$$

$$c_{jN} \sim -\frac{\eta_j}{2\pi}, \quad \Lambda c_{jN} + 2c_{j(N-1)} \sim \frac{\eta_j^3}{\pi}.$$

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Log band width

- Asymptotic behaviour of the NDRs at large N

$$\sum_{j=1}^N \frac{1}{N} \log \left| \frac{\eta_j + \eta_k}{\eta_j - \eta_k} \right| \varkappa(\eta_j) + \varkappa(\eta_k) \tau(\eta_k) = 2\pi \eta_k,$$

$$\sum_{j=1}^N \frac{1}{N} \log \left| \frac{\eta_j + \eta_k}{\eta_j - \eta_k} \right| \nu(\eta_j) + \nu(\eta_k) \tau(\eta_k) = 8\pi \eta_k^3.$$

Thermodynamic nonlinear dispersion relations

[EI (2003)]

- Introduce the DOS $\rho(\eta)$ and the spectral flux density $f(\eta)$

$$\rho : \mathbb{R}^+ \rightarrow \mathbb{R}^+ , \quad \rho(\eta) = \frac{1}{2\pi} \kappa(\eta) \xi(\eta) , \quad \text{so that} \quad \frac{1}{2\pi} \sum_{j=1}^{M < N} k_j \rightarrow \int_{\eta_0}^{\eta} \rho(\mu) d\mu ,$$

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- Thermodynamic NDRs with the spectral scaling function $\sigma(\eta) = \tau(\eta)/\xi(\eta) > 0$

$$\int_{\Gamma} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| \rho(\mu) d\mu + \sigma(\eta) \rho(\eta) = \eta ,$$

$$\int_{\Gamma} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| f(\mu) d\mu + \sigma(\eta) f(\eta) = 4\eta^3 .$$

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$$\int_{\Gamma} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| f(\mu) d\mu + \sigma(\eta) f(\eta) = 4\eta^3 \quad \Rightarrow \quad (4\eta^3)^{\text{dr}}(\eta) = \sigma(\eta) f(\eta) .$$

$$\Rightarrow \sigma(\eta) = \frac{\pi}{4n(\eta)}$$

Soliton gas equation of state

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Note that in rarefied gas $\int_{\Gamma} \rho(\mu) d\mu = \alpha \ll 1$

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- Generic form of the effective velocity in GHD

$$v^{\text{eff}}(\eta) = v^{\text{gr}}(\eta) + \int_{\Gamma} d\mu \varphi(\eta; \mu) \rho(\mu) [v^{\text{eff}}(\eta) - v^{\text{eff}}(\mu)] ,$$

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From thermodynamics to hydrodynamics

- Integrability: infinite number of conservation laws

$$\partial_t q_n + \partial_x j_n = 0 .$$

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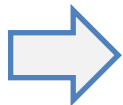
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From thermodynamics to hydrodynamics

- Conservation of waves

$$\partial_t \mathbf{k} + \partial_x \omega = 0 .$$

- Slow modulations of finite gap solutions

$$\mathbf{k} = \mathbf{K}[\lambda(x, t)] , \quad \omega = \Omega[\lambda(x, t)] .$$

- Thermodynamic spectral limit and leading order in multi-scale expansion

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Alternative derivation of GHD equations

[Based on: Doyon, Spohn, Yoshimura (2017)]

- Asymptotic dynamics

$$x_j^-(t) = x_j^-(0) + 4\eta_j^2 t ,$$

$$\Rightarrow \partial_t \rho^-(\eta; x^-, t) + 4\eta^2 \partial_{x^-} \rho^-(\eta; x^-, t) = 0 .$$

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- Change of metric: $dx^-(\eta; x, t) = \mathcal{K}(\eta; x, t) dx$

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n (equivalently σ) plays the role of a continuum of Riemann invariants!

GHD as an integrable system of hydrodynamic type

- System of hydrodynamic type in Riemann form

$$\partial_t \lambda_j + v_j(\lambda_0, \dots, \lambda_n) \partial_x \lambda_j = 0 \quad \longrightarrow \quad \partial_t n(\eta; x, t) + v^{\text{eff}}(\eta; x, t) \partial_x [n(\eta; x, t)] = 0 .$$

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- Linear degeneracy

$$\partial_{\lambda_j} v_j = 0 \quad \longrightarrow \quad \frac{\delta v^{\text{eff}}(\eta)}{\delta n(\eta)} = 0 , \quad \forall \eta \in \Gamma .$$

No shocks in GHD!

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- Semi-Hamiltonian property



$$\partial_{\lambda_j} \frac{\partial_{\lambda_k} v_i}{v_k - v_i} = \partial_{\lambda_k} \frac{\partial_{\lambda_j} v_i}{v_j - v_i} , \quad i \neq j \neq k$$

$$\int_{\Gamma} d\nu \left[\frac{\delta}{\delta n(\nu)} \left(\frac{\delta v^{\text{eff}}(\eta) / \delta n(\mu)}{v^{\text{eff}}(\mu) - v^{\text{eff}}(\eta)} \right) \right] = \int_{\Gamma} d\mu \left[\frac{\delta}{\delta n(\mu)} \left(\frac{\delta v^{\text{eff}}(\eta) / \delta n(\nu)}{v^{\text{eff}}(\nu) - v^{\text{eff}}(\eta)} \right) \right] .$$

GHD equations are integrable!

GHD as an integrable system of hydrodynamic type

- System in Riemann form

$$\partial_t \mathbf{n}(\eta; x, t) + \mathbf{v}^{\text{eff}}(\eta; x, t) \partial_x [\mathbf{n}(\eta; x, t)] = 0 .$$

- Linear degeneracy

$$\frac{\delta \mathbf{v}^{\text{eff}}(\eta)}{\delta \mathbf{n}(\eta)} = 0 , \quad \forall \eta \in \Gamma .$$

No shocks in GHD!

- Semi-Hamiltonian property

$$\int_{\Gamma} d\nu \left[\frac{\delta}{\delta \mathbf{n}(\nu)} \left(\frac{\delta \mathbf{v}^{\text{eff}}(\eta) / \delta \mathbf{n}(\mu)}{\mathbf{v}^{\text{eff}}(\mu) - \mathbf{v}^{\text{eff}}(\eta)} \right) \right] = \int_{\Gamma} d\mu \left[\frac{\delta}{\delta \mathbf{n}(\mu)} \left(\frac{\delta \mathbf{v}^{\text{eff}}(\eta) / \delta \mathbf{n}(\nu)}{\mathbf{v}^{\text{eff}}(\nu) - \mathbf{v}^{\text{eff}}(\eta)} \right) \right] .$$

GHD equations are integrable!

- Generalised hodograph transform

$$x - 4\eta^2 t = \int_{\mathbf{n}(\eta; 0, 0)}^{\mathbf{n}(\eta; x, t)} \zeta g(\zeta; \eta) d\zeta + \int d\mu \frac{1}{\mu} \log \left| \frac{\eta + \mu}{\eta - \mu} \right| \int_{\mathbf{n}(\mu; 0, 0)}^{\mathbf{n}(\mu; x, t)} g(\zeta; \mu) d\zeta ,$$

where $g(\zeta; \eta)$ are functional degrees of freedom.

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